

# Type Preservation for System F a la Curry

No Institute Given

## 1 System F

### 1.1 Syntax

**Types**  $T ::= B \mid X \mid T_1 \rightarrow T_2 \mid \forall X.T$

**Terms**  $t ::= c \mid x \mid (t_1 t_2) \mid \lambda x.t$

**Reduction rules Contexts**

$C ::= * \mid C t \mid \lambda x.C \mid t C$

**Values**  $v ::= \lambda x.t \mid c$

**Reductions**

Full Beta Reduction.

$C[(\lambda x.t) t'] \rightsquigarrow C[[t'/x]t]$

### 1.2 Typing

**Context**  $\Gamma ::= \cdot \mid \Gamma, x : T$

$\frac{(x : T) \in \Gamma}{\Gamma \vdash x : T} T\_Var$

$\frac{\Gamma, x : T_1 \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2} \rightarrow\_intro$

$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2} \rightarrow\_elim$

$\frac{\Gamma \vdash t : T \quad X \notin FV(\Gamma)}{\Gamma \vdash t : \forall X.T} \forall\_intro$

Notice:  $FV(\Gamma)$  is the set of all free type variables in  $\Gamma$ .

$\frac{\Gamma \vdash t : \forall X.T}{\Gamma \vdash t : [T'/X]T} \forall\_elim$

### 1.3 Basic Properties

#### Lemma 1.

- If  $\Gamma \subseteq \Gamma'$  and  $\Gamma \vdash t : T$ , then  $\Gamma' \vdash t : T$ .
- If  $\Gamma \vdash t : T$ , then  $fv(t) \subseteq dom(\Gamma)$ , where  $fv(t)$  is the set of free term variable of  $t$ .

This lemma should be straightforward by induction on derivation of  $\Gamma \vdash t : T$ .

**Lemma 2.** If  $\Gamma \vdash t : T$ , then  $[T'/X]\Gamma \vdash t : [T'/X]T$ .

*Proof.* By induction on the derivation of  $\Gamma \vdash t : T$ .

*Base Case:*

$$\frac{(x : T) \in \Gamma}{\Gamma \vdash x : T} \text{ T-Var}$$

Obvious.

*Step Case:*

$$\frac{\Gamma, x : T_1 \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2} \rightarrow\_intro$$

We want to show  $[T'/X]\Gamma \vdash \lambda x.t : [T'/X]T_1 \rightarrow [T'/X]T_2$ . By IH, we have  $[T'/X]\Gamma, x : [T'/X]T_1 \vdash t : [T'/X]T_2$ . Thus it is the case.

*Step Case:*

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2} \rightarrow\_elim$$

Again, by IH, we have  $[T'/X]\Gamma \vdash t_1 : [T'/X]T_1 \rightarrow [T'/X]T_2$  and  $[T'/X]\Gamma \vdash t_2 : [T'/X]T_1$ . Thus it is the case.

*Step Case:*

$$\frac{\Gamma \vdash t : T \quad X \notin FV(\Gamma)}{\Gamma \vdash t : \forall X.T} \forall\_intro$$

We want to show  $[T'/Y]\Gamma \vdash t : \forall X.[T'/Y]T$ . By IH, we have  $[T'/Y]\Gamma \vdash t : [T'/Y]T$ . Of course,  $X \notin FV(T')$ . So it is the case.

*Step Case:*

$$\frac{\Gamma \vdash t : \forall X.T}{\Gamma \vdash t : [T'/X]T} \forall\_elim$$

We want to show that  $[T''/Y]\Gamma \vdash t : [T''/Y]([T'/X]T)$ . By IH, we have  $[T''/Y]\Gamma \vdash t : \forall X.[T''/Y]T$ . By proper renaming variable, it is also the case.

**Lemma 3.** If  $\Gamma, x : T_1 \vdash t : T_2$  and  $\Gamma \vdash t' : T_1$ , then  $\Gamma \vdash [t'/x]t : T_2$ .

*Proof.* By induction on the derivation of  $\Gamma, x : T_1 \vdash t : T_2$ .

*Base Case:*

$$\frac{(x : T) \in \Gamma}{\Gamma, x : T \vdash x : T} T\_Var$$

Obvious.

*Step Case:*

$$\frac{\Gamma, y : T, x : T_1 \vdash t : T_2}{\Gamma, y : T \vdash \lambda x.t : T_1 \rightarrow T_2} \rightarrow\_intro$$

We know that  $\Gamma \vdash t' : T$ . We want to show  $\Gamma \vdash \lambda x.[t'/y]t : T_1 \rightarrow T_2$ . By IH, we have  $\Gamma, x : T_1 \vdash [t'/y]t : T_2$ . Thus it is the case.

*Step Case:*

$$\frac{\Gamma, x : T \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma, x : T \vdash t_2 : T_1}{\Gamma, x : T \vdash t_1 t_2 : T_2} \rightarrow\_elim$$

We have  $\Gamma \vdash t' : T$ . By IH, we have  $\Gamma \vdash [t'/x]t_1 : T_1 \rightarrow T_2$  and  $\Gamma \vdash [t'/x]t_2 : T_1$ . Thus we have  $\Gamma \vdash [t'/x](t_1 t_2) : T_2$ . So it is the case.

*Step Case:*

$$\frac{\Gamma, x : T' \vdash t : T \quad X \notin FV(\Gamma)}{\Gamma, x : T' \vdash t : \forall X.T} \forall\_intro$$

We know that  $\Gamma \vdash t' : T'$ . By IH, we have  $\Gamma \vdash [t'/x]t : T$ . Thus we have  $\Gamma \vdash [t'/x]t : \forall X.T$ .

*Step Case:*

$$\frac{\Gamma, x : T'' \vdash t : \forall X.T}{\Gamma, x : T'' \vdash t : [T'/X]T} \forall\_elim$$

We know that  $\Gamma \vdash t' : T''$ . By IH, we have  $\Gamma \vdash [t'/x]t : \forall X.T$ . Thus we have  $\Gamma \vdash [t'/x]t : [T'/X]T$ .

## 1.4 Barendregt's Order

**Definition 1.**  $\Gamma \vdash T_1 > T_2$  iff  $\exists t, \Gamma \vdash t : T_1$  and

$T_1 \equiv \forall X.T$  and  $T_2 \equiv [T'/X]T$ . (By proper renaming, we have  $FV(T') \cap FV(\Gamma) = \emptyset$ .) Or

$T_2 \equiv \forall X.T_1$ , where  $X \notin FV(\Gamma)$ .

Notice: we write  $\geq$  as the transitive and reflexive closure of  $>$ .

**Lemma 4.** If  $\Gamma \vdash T \geq T'$  and  $\Gamma \vdash t : T$ , then  $\Gamma \vdash t : T'$ .

*Proof.* Since  $\Gamma \vdash T \geq T'$  implies  $\Gamma \vdash T_1 \equiv T_1 > T_2 > \dots > T_n \equiv T'$ . According to the definition of  $\Gamma \vdash T_i > T_{i+1}$ , if  $\Gamma \vdash t : T_i$ , then  $\Gamma \vdash t : T_{i+1}$ . Thus we finally can get  $\Gamma \vdash t : T'$ .

**Lemma 5.**

1. If  $\Gamma \vdash x : T$ , then  $\exists T', \Gamma \vdash T' \geq T$  and  $(x : T') \in \Gamma$ .
2. If  $\Gamma \vdash t_1 t_2 : T$ , then  $\exists T', \Gamma \vdash T' \geq T$ , and  $\exists T'', \Gamma \vdash t_2 : T'', \Gamma \vdash t_1 : T'' \rightarrow T'$ .
3. If  $\Gamma \vdash \lambda x.t : T$ , then  $\exists T', T'', \Gamma, x : T' \vdash t : T''$  and  $\Gamma \vdash T' \rightarrow T'' \geq T$ .

*Proof.* By induction on derivation.

1. Base Case:

$$\frac{(x : T) \in \Gamma}{\Gamma \vdash x : T} T\_Var$$

By reflexivity, we know that it is true.

Step Case:

$$\frac{\Gamma \vdash x : T' \quad X \notin FV(\Gamma)}{\Gamma \vdash x : T} \forall\_intro$$

where  $T \equiv \forall X.T'$ . Thus by definition, we have  $\Gamma \vdash T' > T$ . By IH, we have  $\exists T'', \Gamma \vdash T'' \geq T', (x : T'') \in \Gamma$ . By transitivity, we have  $\Gamma \vdash T'' \geq T$ . Thus it is the case.

Step Case:

$$\frac{\Gamma \vdash x : \forall X.T'}{\Gamma \vdash x : T} \forall\_elim$$

where  $T \equiv [T''/X]T'$ . By definition, we have  $\Gamma \vdash \forall X.T' > T$ . By IH,  $\exists T_1, \Gamma \vdash T_1 \geq \forall X.T'$  and  $(x : T_1) \in \Gamma$ . By transitivity, we have  $\Gamma \vdash T_1 \geq T$ . Thus it is the case.

2. Base Case:

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_2 \quad \Gamma \vdash t_2 : T_1}{\Gamma \vdash t_1 t_2 : T_2} \rightarrow\_elim$$

It is trivial true by reflexivity.

Step Case:

$$\frac{\Gamma \vdash t_1 t_2 : T' \quad X \notin FV(\Gamma)}{\Gamma \vdash t_1 t_2 : T} \forall\_intro$$

$T \equiv \forall X.T'$ . By definition,  $\Gamma \vdash T' > T$ . By IH,  $\exists T_1, \exists T_2, \Gamma \vdash T_2 \geq T', \Gamma \vdash t_1 : T_1 \rightarrow T_2$  and  $\Gamma \vdash t_2 : T_1$ . By transitivity, we have  $\Gamma \vdash T_2 \geq T$ . Thus it is the case.

Step Case:

$$\frac{\Gamma \vdash t_1 t_2 : \forall X.T''}{\Gamma \vdash t_1 t_2 : [T'/X]T''} \forall\_elim$$

$T \equiv [T'/X]T''$ . By definition,  $\Gamma \vdash \forall X.T'' > T$ . By IH,  $\exists T_1, \exists T_2, \Gamma \vdash T_2 \geq \forall X.T'', \Gamma \vdash t_1 : T_1 \rightarrow T_2$  and  $\Gamma \vdash t_2 : T_1$ . By transitivity, we have  $\Gamma \vdash T_2 \geq T$ . Thus it is the case.

3. Base Case:

$$\frac{\Gamma, x : T_1 \vdash t : T_2}{\Gamma \vdash \lambda x.t : T_1 \rightarrow T_2} \rightarrow\_intro$$

It is true by reflexivity.

Step Case:

$$\frac{\Gamma \vdash \lambda x.t : T' \quad X \notin FV(\Gamma)}{\Gamma \vdash \lambda x.t : T} \forall\_intro$$

$T \equiv \forall X.T'$ . By definition,  $\Gamma \vdash T' > T$ . By IH,  $\exists T_1, \exists T_2, \Gamma \vdash T_1 \rightarrow T_2 \geq T', \Gamma, x : T_1 \vdash t : T_2$ . By transitivity, we have  $\Gamma \vdash T_1 \rightarrow T_2 \geq T$ . Thus it is the case.

Step Case:

$$\frac{\Gamma \vdash \lambda x.t : \forall X.T''}{\Gamma \vdash \lambda x.t : [T'/X]T''} \forall\_elim$$

$T \equiv [T'/X]T''$ . By definition,  $\Gamma \vdash \forall X.T'' > T$ . By IH,  $\exists T_1, \exists T_2, \Gamma \vdash T_1 \rightarrow T_2 \geq \forall X.T'', \Gamma, x : T_1 \vdash t : T_2$ . By transitivity, we have  $\Gamma \vdash T_1 \rightarrow T_2 \geq T$ . Thus it is the case.

**Definition 2.** We define  $o$  is a function from types to types.

$$o(X) := X.$$

$$o(T_1 \rightarrow T_2) := T_1 \rightarrow T_2.$$

$$o(\forall X.T) := o(T).$$

**Lemma 6.**

1. For any  $T, T_1, \exists T_2, o([T_1/X]T) \equiv [T_2/X]o(T)$ .
2. If  $\Gamma \vdash T_1 \geq T_2$ , then  $\exists \sigma, o(T_2) \equiv \sigma(o(T_1))$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ .
3. If  $\Gamma \vdash T_1 \rightarrow T_2 \geq T'_1 \rightarrow T'_2$ , then  $\exists \sigma, T'_1 \rightarrow T'_2 \equiv \sigma(T_1 \rightarrow T_2)$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ .

Notice that  $\sigma$  here is a type substitution.

*Proof.* 1. By induction on the structure of  $T$ .

Base Case:  $T \equiv X$ , then  $o([T_1/X]X) \equiv o(T_1)$ . Thus  $T_2 \equiv o(T_1)$  here.

Step Case:  $T \equiv T_a \rightarrow T_b$ . We have  $o([T_1/X]T) \equiv o([T_1/X]T_a \rightarrow [T_1/X]T_b) \equiv [T_1/X]T_a \rightarrow [T_1/X]T_b \equiv [T_1/X]o(T_a \rightarrow T_b)$ . So here  $T_2 \equiv T_1$ .

Step Case:  $T \equiv \forall Y.T'$ . We have  $o([T_1/X]\forall Y.T') \equiv o([T_1/X]T')$ . Then by IH,  $\exists T'', o([T_1/X]T') \equiv [T''/X]o(T') \equiv [T''/X]o(\forall Y.T')$ . Thus  $T_2 \equiv T''$  here.

2. We will prove this by induction on the length of  $\Gamma \vdash T_1 \geq T_2$ .

Base Case:  $\Gamma \vdash T_1 > T_2$ . We want to show  $\exists \sigma, o(T_2) \equiv \sigma(o(T_1))$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ .  $\Gamma \vdash T_1 > T_2$  implies either  $T_2 \equiv \forall Y.T_1$  or  $T_1 \equiv \forall Y.T', T_2 \equiv [T''/Y]T'$ . If  $T_2 \equiv \forall Y.T_1$ , then  $o(T_2) \equiv o(T_1)$ . Thus in this

case  $\sigma = \emptyset$ . If  $T_1 \equiv \forall Y.T', T_2 \equiv [T''/Y]T'$ , then  $o(T_2) \equiv o([T''/Y]T')$ . By 1, we have  $\exists T_x, o([T''/Y]T') \equiv [T_x/Y]o(T') \equiv [T_x/Y]o(\forall Y.T') \equiv [T_x/Y]o(T_1)$ . Thus  $\sigma = [T_x/Y]$ . By definition of  $\Gamma \vdash T_1 > T_2$ , we know that  $Y \notin FV(\Gamma)$ , thus  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ .

Step Case:  $\Gamma \vdash T_1 > \dots > T_u > T_2$ . By IH, we have  $\exists \sigma, o(T_u) \equiv \sigma(o(T_1))$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ . If  $T_2 \equiv \forall X.T_u$ . Then  $o(T_2) \equiv o(T_u)$ ,  $\sigma$  is what we want here. If  $T_u \equiv \forall X.T$  and  $T_2 \equiv [T'/X]T$ , then  $o(T_2) \equiv o([T'/X]T)$ . By 1, we have  $\exists T'', o([T'/X]T) \equiv [T''/X]o(T) \equiv [T''/X]o(\forall X.T) \equiv [T''/X]o(T_u) \equiv [T''/X](\sigma(o(T_1)))$ . Thus  $[T''/X](\sigma)$  is what we want. Since  $X \notin FV(\Gamma)$ ,  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ , we have  $dom([T''/X](\sigma)) \cap FV(\Gamma) = \emptyset$ .

3. We have  $\Gamma \vdash T_1 \rightarrow T_2 \geq T'_1 \rightarrow T'_2$  and  $T'_1 \rightarrow T'_2 \equiv o(T'_1 \rightarrow T'_2)$ . By 2, we have  $\exists \sigma, o(T'_1 \rightarrow T'_2) \equiv \sigma(o(T_1 \rightarrow T_2)) \equiv \sigma(T_1 \rightarrow T_2)$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ . So  $T'_1 \rightarrow T'_2 \equiv \sigma(T_1 \rightarrow T_2)$ .

**Theorem 1.** *If  $t \rightsquigarrow t'$  and  $\Gamma \vdash t : T$ , then  $\Gamma \vdash t' : T$ .*

*Proof.* By induction on the derivation of  $t \rightsquigarrow t'$ . We will just prove when  $t \equiv (\lambda x.t')t''$ . So we have  $\Gamma \vdash (\lambda x.t')t'' : T$ . By lemma 5.2, we have  $\exists T', T'', \Gamma \vdash T' \geq T, \Gamma \vdash \lambda x.t' : T'' \rightarrow T'$  and  $\Gamma \vdash t'' : T''$ . By lemma 5.3, we have  $\exists S_1, S_2, \Gamma \vdash S_1 \rightarrow S_2 \geq T'' \rightarrow T'$  and  $\Gamma, x : S_1 \vdash t' : S_2$ . By lemma 6.3, we have  $\exists \sigma, \sigma(S_1 \rightarrow S_2) \equiv T'' \rightarrow T'$  and  $dom(\sigma) \cap FV(\Gamma) = \emptyset$ . So by lemma 2, we have  $\Gamma, x : T'' \vdash t' : T'$ . By lemma 3, we have  $\Gamma \vdash [t''/x]t' : T'$ . Since  $\Gamma \vdash T' \geq T$ , by lemma 4, we have  $\Gamma \vdash [t''/x]t' : T$ . Thus we get type preservation.